

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: BODELL II et al.)
Application No.:) Group Art Unit: n/a
Filed: July 15, 2003) Examiner: n/a

For: STABILITY CONTROL SYSTEM AND METHOD FOR COMPRESSORS
OPERATING IN PARALLEL

Mail Stop PATENT APPLICATION
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

SIR:

The following are included with this Information Disclosure Statement:

- [X]A. PTO/SB/08**
- [] B. CERTIFICATION**
(37 C.F.R. 1.97(c)-before final Office Action or allowance)
- [] C. \$180.00 FEE PAYMENT**
(37 C.F.R. 1.97(c)-before final Office Action or allowance)
- [] D. \$180.00 PETITION, CERTIFICATION & PETITION FEE
PAYMENT**
(37 C.F.R. 1.97(d)-before issue fee payment)

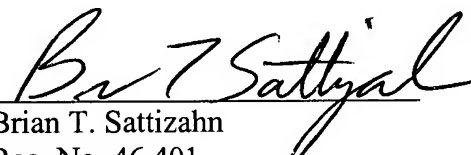
The following are submitted in the above-identified application in compliance with
37 CFR 1.98:

- [X] I.** A copy of each listed U.S. Patent [1.98(a)(2)(i)].
- [X] II.** A copy of each listed foreign patent and/or published foreign patent
application. For each listed foreign patent and/or published foreign patent
application [1.98(a)(2)]:
 - [X] a)** the English language version or an English translation if not
published in English, if available (see PTO-1449)[1.98(a)(3)];
and/or

- ☐ (b) U.S. counterpart patents or English language equivalents of the foreign patents or published foreign patent applications (see PTO-1449); and/or
 - ☐ (c) a concise explanation of the relevance of the listed patents, publications or other information (see PTO-1449, foreign search report or other, attached), as it is presently understood by the person signing this disclosure, since no English translation is currently available[1.98(a)(3)].
 - ☐ III. A copy of each listed publication, other than published foreign applications. For each listed publication [1.98(a)(2)]:
 - ☐ (a) an English translation, if available, when the original publication is not in the English language (see PTO-1449)[1.98(a)(3)];
 - ☐ (b) a concise explanation of the listed publications (see PTO-1449), as it is presently understood by the person signing this disclosure, since no English translation is currently available [1.98(a)(3)].
 - ☐ IV. For any other listed information, a copy of the information or that portion which caused it to be listed (see PTO-1449)[1.98(a)(2)(iii)].
 - ☐ V. Each listed U.S. Patent, Publication or other information that was previously cited by or submitted to the Office in a prior application, which application is relied upon for an earlier filing date under 35 U.S.C. § 120 [1.98(d)].
- This information is submitted in the above-identified application in compliance with 37 C.F.R.1.97 because:
- ☒ 1. This information disclosure is submitted within three months of the filing date of the application, or before the mailing date of the first office action on the merits of the application [1.97(b)].
 - ☐ 2. This information disclosure is submitted before the mailing date of a final action in this application under 1.113[1.97(c)] and the requisite statement or fee is provided (see items 6 or 7 below).
 - ☐ 3. This information disclosure is submitted before the mailing date of a notice of allowance under 1.311 [1.97(c)] and the requisite statement or fee is provided (see items 6 or 7 below).
 - ☐ 4. This information disclosure is submitted after the mailing date of a final action under 1.113 [1.97(d)] and the requisite statement and fee is provided (see items 6 and 8 below).

- ☐ 5. This information disclosure is submitted after the mailing date of a notice of allowance under 1.311, but before the payment of the issue fee [1.97(d)] and the requisite statement and fee is provided (see items 6 and 8 below).
- ☐ 6. This information disclosure statement is submitted with the statement required for items 2, 3, 4 or 5 above [1.97(c), (d), (e)]:
- ☐ I, **Brian T. Sattizahn**, hereby state the following:
- ☐ **Each item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.**
- ☐ **No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to the knowledge of the person signing this certification after making reasonable inquiry, no item of information contained in this information disclosure was known to any individual designated in 1.56(c) more than three months prior to the filing of this information disclosure statement.**
- ☐ 7. This information disclosure statement is submitted with the fee of \$180 set forth in 1.17(p) [see items 2 and 3 above]. Please charge this fee and any other fees associated with this application to Deposit Account No. 50-1059. (Please note, no fee is required for items 2 and 3 above if the Item 6 statement is provided).
- ☐ 8. Please charge this fee and any other fees associated with this application to Deposit Account No. 50-1059.

Respectfully submitted,


Brian T. Sattizahn
Reg. No. 46,401

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Substitute for form 1449A/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(use as many sheets as necessary)</i>			Complete if Known	
			Application Number	
			Filing Date	
			First Named Inventor	
			Art Unit	
			Examiner Name	
Sheet 2 of 2			Attorney Docket Number	

U.S. PATENT DOCUMENTS					
Examiner Initials *	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number - Kind Code ² (if known)			
	U	US-4,514,989	05-07-1985	Mount	
	V	US- 4,503,684	03-12-1985	Mount et al.	
	W	US- 4,363,596	12-14-1982	Watson et al.	
	X	US- 4,248,055	02-03-1981	Day, III et al.	
	Y	US- 4,152,902	05-08-1979	Lush	
	Z	US- 3,957,392	05-18-1976	Blackburn	
	AA	US- US 2002/0020175 A1	02-21-2002	Street et al.	
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FOREIGN PATENT DOCUMENTS						
Examiner Initials *	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Country Code ³ - Number ⁴ - Kind Code ⁵ (if known)				
	AB	EP 0 186 332 A1	07-02-1986	Borg-Warner Corporation		
	AC	JP 3-78598	04-03-1991			

Examiner Signature	Date Considered
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 1 Applicant's unique citation designation number (optional). 2 See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. 6 Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Substitute for form 1449A/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet	1	of	2
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Complete if Known

Application Number

Filing Date

July 15, 2003

First Named Inventor

BODELL II et al.

Art Unit

n/a

Examiner Name

n/a

Attorney Docket Number

20712-0063

U.S. PATENT DOCUMENTS

Examiner Initials *	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number - Kind Code ² (if known)			
	A	US-6,014,325	01-11-2000	Pecore	
	B	US- 5,927,939	07-27-1999	Harada et al.	
	C	US- 5,913,248	06-15-1999	Harada et al.	
	D	US- 5,894,736	04-20-1999	Beaverson et al.	
	E	US- 5,875,637	03-02-1999	Paetow	
	F	US- 5,873,257	02-23-1999	Peterson	
	G	US- 5,845,509	12-08-1998	Shaw et al.	
	H	US- 5,746,062	05-05-1998	Beaverson et al.	
	I	US- 5,730,580	03-24-1998	Japikse	
	J	US- 5,669,225	09-23-1997	Beaverson et al.	
	K	US- 5,651,264	07-29-1997	Lo et al.	
	L	US- 5,355,691	10-18-1994	Sullivan et al.	
	M	US- 5,284,026	02-08-1994	Powell	
	N	US- 5,235,801	08-17-1993	Evenson et al.	
	O	US- 5,222,356	06-29-1993	Evenson et al.	
	P	US- 5,207,559	05-04-1993	Clevenger et al.	
	Q	US- 4,656,589	04-07-1987	Albers et al.	
	R	US- 4,646,530	03-03-1987	Huenniger	
	S	US- 4,608,833	09-02-1986	Kountz	
	T	US- 4,581,900	04-15-1986	Lowe et al.	

FOREIGN PATENT DOCUMENTS

[illegible]

**Examiner
Signature**

Date Considered

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 1 Applicant's unique citation designation number (optional). 2 See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. 6 Applicant is to place a check mark here if English language Translation is attached.

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